Substitute Form PTO-1449 (Madified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 15609-019001

Application No. 10/632,674

Information Disclosure Statement by Applicant
(Use several sheets if necessary)

Applicant Frank Michael Kraft

Filing Date August 1, 2003

Group Art Unit 2171

Substitute Disclosure Form (PTO-1449)

			U.S. Pater	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
Rent	AA	US-4694422 A	09/15/1987	Kakuda et al.	714	49	
1	AB	US-4777595 A	10/11/1988	Strecker et al.	709	236	
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W	AD	US-6529932 B1	03/04/2003	Dadiomov et al.	718	10(

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID _	Number	Date	Patent Office	Class	Subclass	Yes	No
RM	AE	EP 0 684 720 A	11/29/1995	EPO				

	Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner	Desig.							
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RM	AF	Haase, Kim, "Java Message Service API Tutorial," Sun Developer Network Site, August 2001, retrieved from the Internet on January 7, 2004, at http://java.sun.com/products/jms/docs.htm , XP002266306, 270 pgs.						
RM	AG	Johnson, David B, et al., "Sender-Based Message Logging," 7th Symposium on Fault-Tolerant Computing, 1987, retrieved from the Internet on January 8, 2004, at http://citeseer.nj.necessary.com/johnson87senderbased.html , XP002266307, 6 pgs.						
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Ris	AI	Yuang, Maria C., "Survey of Protocol Verification Techniques Based on Finite State Machine Models," April 11, 1988, Proceedings of the IEEE NBS Computer Networking Symposium, Washington, DC, XP010011847, pp. 164-172						

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Examiner Signature	Date Considered /
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
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Sheet _	L of	1
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(37 CFR §1.98(b))

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	AB									

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	AC									
	AD									

	Other Documents (include Author, Title, Date, and Place of Publication)						
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Initial	<u>ID</u>	Document					
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		Internet September 5, 2003, 2 pgs.					
0		Brink, Richard Scott, "A Petri Net Design, Simulation, and Verification Tool," Rochester Institute of					
RM	AF	Technology, September 1996, http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html ,					
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		Carryer, J. Edward, "State Machines," February 2, 2001,					
RMI	AG	http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf, printed from the Internet					
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		"DotnetCoders - UML: Statechart Diagrams," copyright 2000-2003 .netCoders,					
06	AH	http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx, printed from the Internet					
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		"Finite State Machine - A WhatIs Definition,"					
05	AI	http://whatis.techtarget.com/definition/0, sid9_gci213052,00.html, printed from the Internet					
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		"StateMachines," copyright 1996-2002 BoldSoft, copyright 2002 Borland,					
01	AJ	http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech StateMachines.htm,					
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_		"UML Tutorial - State Diagrams," Kennesaw State University,					
1 Das	AK	http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&D/UML_tutorial/state.htm, printed from the					
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Information Disclosure Statement by Applicant Frank Michael Kraft Filing Date Group Art Unit	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-019001	Application No. 10/632,674
APR 0 7 205 (Use several sheets if necessary) Filing Date Group Art Unit	Information Dis	nnlicant		
	APR 0 7 2005 (Use several sh	neets if necessary)	Filing Date August 1, 2003	,

TRACE			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RMT	AA	US-5842216	11/24/1998	Anderson et al.	707	2 03	
$\overline{\Psi}$	AB	US-5864837	01/26/1999	Maimone et al.	707	ĺ	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID di	Number	Date	Patent Office	Class	Subclass	Yes	No ·
RMT	AC	EP 0 926 608 A	06/30/1999	EPO				

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
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1				U.S. Pate	ent Documents			
	Examiner Ipitial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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A	STPE &	AB	5864837	01/26/1999	Maimone et al.	207	1	
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	AN 2 0 2005	AD	6415315	07/2002	Glass	209	201	
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	Foreign Patent Documents or Published Foreign Patent Applications									
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Initjal	ID	Number	Date	Patent Office	Class	Subclass	Yes	No		
RMI	AK	EP 0 926 608 A	06/30/1999	EPO						

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig.	Document			
RNÍ	AL	"Application Link Enabling," http://searchsap.techtarget.com/sDefinition/0,.sid21_gci822148,00.html , May 7, 2002, printed from the Internet December 10, 2003, 2 pgs.			
RM	AM	'Half.com: Apple iPod Mini – Questions & Answers' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <url: <a="" href="http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&meta_id=6&domain_id=447">http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&meta_id=6&domain_id=447.</url:>			
RM	AN	'Half.com: Apple iPod Mini – Seller's Description' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <url: buy="" cat="" half.ebay.com="" http:="" inv.cgi?offer_id="1286774759&meta_id=6&cpid=1202509734&domain_id=447">.</url:>			
Rm	AO	'The Next Evolution of E-Commerce – Tango Trade Press Release' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07, then again in its entirety on 2005-04-28]. Retrieved from the Internet: <url: <a="" href="http://www.p2p-zone.com/underground/showthread.php?s=&threadid=16211">http://www.p2p-zone.com/underground/showthread.php?s=&threadid=16211. See, e.g., pp. 10-11.</url:>			

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by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date August 1, 2003	Group Art Unit 2171	

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
RMT	AP	'P2P Real Time Trading Community from Tango' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07; then again in its entirety on 2005-04-28]. Retrieved from the Internet: <url: <a="" href="http://www.collaborate.com/publication/newsletter/nl0603.html#portal">http://www.collaborate.com/publication/newsletter/nl0603.html#portal>. See, e.g., pp. 24-25.</url:>
RM	AQ	Robinson, Scott, "Save Time and Effort with SAP's ALE Change Pointers," http://techrepublic.com.com/5102-6329-1048973.html , August 12, 2002, copyright 1995-2003 CNET Networks, Inc., printed from the Internet September 4, 2003, 3 pgs.
RAT	AR	'TangoTrade.net - About' [online]. Tango, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <url: <a="" href="http://www.tangotrade.net/about.html">http://www.tangotrade.net/about.html.</url:>
Rmt	AS	'Tango Trade 2.0: End-User Market for: EBAY P/N 370-2304' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <url: <a="" href="http://rook.tangotrade.net/live/bidask.php?Item=370-2304&ManuName=EBAY">http://rook.tangotrade.net/live/bidask.php?Item=370-2304&ManuName=EBAY.</url:>
Pat	AT	'Tango Trade 2.0: Sell an Item' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <url: <a="" href="http://dns-1.wtic.net/sell.php?&PHPAUCTION_SESSION=9182eb870619298ff76e18a31b0a7635">http://dns-1.wtic.net/sell.php?&PHPAUCTION_SESSION=9182eb870619298ff76e18a31b0a7635>.</url:>
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Applicant

August 1, 2003

Frank Michael Kraft

Filing Date

Group Art Unit 2171

U.S. Patent Documents Publication Filing Date Examiner Desig. **Document** Date **Patentee** Class **Subclass** If Appropriate Initial ID Number

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Desig. Document Publication Country or Translation						slation		
Initial	l ID	Number	Date	Patent Office	Class	Subclass	Yes	No

Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document	
RMT	AA	Bosik, B.S., et al., "Finite State Machine Based Formal Methods in Protocol Conformance Testing: From Theory to Implementation," Computer Networks and ISDN Systems, North Holland Publishing, Amsterdam, NL, vol. 22, no. 1, 1 August 1991, pp. 7-33, XP00224436	

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